

## **Attention Investors**

1. Stock Brokers can accept securities as margin from clients only by way of pledge in the depository system w.e.f. September 1, 2020.
2. Update your mobile number & email Id with your stock broker/depository participant and receive OTP directly from depository on your email id and/or mobile number to create pledge.
3. Pay 20% upfront margin of the transaction value to trade in cash market segment.
4. Investors may please refer to the Exchange's Frequently Asked Questions (FAQs) issued vide circular reference NSE/INSP/45191 dated July 31, 2020 and NSE/INSP/45534 dated August 31, 2020 and other guidelines issued from time to time in this regard.
5. Check your Securities /MF/ Bonds in the consolidated account statement issued by NSDL/CDSL every month.

.....**Issued in the interest of Investors.**

## **Advisory for Investors**

1. Prevent Unauthorized Transactions in your Trading / Demat account --> Update your Mobile Numbers / email IDs with your Stock Brokers / Depository Participant.
2. Receive alerts on your Registered Mobile / email IDs for trading account transactions and **all debit and other important transactions** in your demat account directly from Exchange / NSDL on the same day.

3. KYC is one time exercise while dealing in securities markets - once KYC is done through a SEBI registered intermediary (broker, DP, Mutual Fund etc.), you need not undergo the same process again when you approach another intermediary.

4. No need to issue cheques by investors while subscribing to IPO.

5. Just write the bank account number and sign in the application form to authorise your bank to make payment in case of allotment.

6. No worries for refund as the money remains in investor's account.

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### **Alert for Investors**

1. Clients are advised not to Share trading credentials like login id & passwords including OTP with any one.

2. Clients advised to not that trading in futures & options is like trading in leveraged products and can lead to losses.

3. Writing/ selling options or trading in option strategies based on tips, without basic knowledge of payoffs from them & understanding of the product and is highly risked & can lead to huge losses.

4. Clients are advised not to trade on the base of unsolicited tips through Whatsapp, Telegram, YouTube, Facebook, SMS, calls, etc. This can be sent by vested interest and can lead to losses to the clients.

5. Clients are requested to not to trade in "Options" based on recommendations from unauthorised / unregistered investment advisors and influencers.

